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## In The United States Patent and Trademark Office

In re Application of:

Applicant: Arcot Systems, Inc.

Inventor(s): Balas Natarajan Kausik, Ph.D.

Serial No. 09/437,065

Filing Date: November 9, 1999

Title: "Method and System for Secure  
Authenticated Payment on a Com-  
puter Network"

Art Unit: Not Yet Assigned

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL441850864US

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I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

Type or Print Name of Person Mailing: Paulette D. Isler

Signature of Person Mailing

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION****Filing Date Granted (PTO-1533) (Large Entity)**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

This is a response to the Notice to File Missing Parts of Application - Filing Date  
Granted mailed on November 9, 1999.

Enclosed herewith for filing are the following:

X	A copy of the Notice to File Missing Parts of Application - Filing Date Granted. <b>REQUIRED</b>
X	Other (list):
X	Oath or Declaration in compliance with 37 CFR 1.63, including residence information. - executed by the inventor(s)
X	Power of Attorney by Assignee of Patent Application. - executed by an officer
X	Recordation Form Cover Sheet (Patents Only)
X	Assignment of Patent Application -executed by the inventor(s)

Completion of application fees as calculated below:

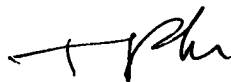
	Utility application basic filing fee	\$
	Total number of claims = ( ) exceeding twenty =	
	Total number of independent claims = ( ) exceeding three =	
	Multiple dependent claims	
X	Surcharge for late payment of filing fee and/or late filing of original declaration or oath	130.00
X	The Commissioner is hereby authorized to charge any fees which may be required in this application, or to credit any overpayment, to Deposit Account No. 19-2385. Should no proper payment be enclosed herewith, as by a check being in the wrong account, unsigned, post-date, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-2385. <b>This sheet is filed in duplicate.</b>	

Please address all correspondence regarding this communication to the following address:

Joseph Yang, Ph.D.  
 SKADDEN, ARPS, SLATE, MEAGHER & FLOM, LLP  
 525 University Avenue, Suite 220  
 Palo Alto, CA 94301  
 Telephone: (650) 470-4500

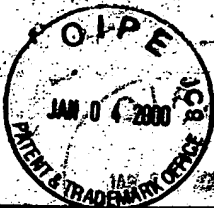
Respectfully Submitted,

Date: 12/29/99



Thomas R. Lane  
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/427,065 11/09/99 KAUSIK B 028410-0009

is a continuation of

Inventor: Annot Systems, Inc.

0262/1202

SKADDEN ARPS SLATE MEAGHER AND FLOM LLP

525 UNIVERSITY AVENUE

PALO ALTO CA 94301

NOT ASSIGNED

DATE MAILED:

12/02/99

PPD DEC -7 PM 12:22

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(e). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

☐ Missing:

☐ Insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor(s) and the application is not a properly signed oath or declaration in compliance with 37 CFR 1.63. Identifying the applicant by the above

Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

University A, only Prof. Dr. California, California

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

D. Durham

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